

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re: Application of Hollenberg et al.

International Application No. PCT/EP00/06159

International Filing Date: July 1, 2000

Serial No. 10/031,740

Examiner: To be assigned

Filed: To be assigned

Art Unit: To be assigned

Confirmation No. 8626

TITLE: NOVEL DYES AND COLORANTS

TRANSMITTAL LETTER FOR SECOND SUBMISSION
UNDER 35 USC §371 AND RESPONSE TO NOTICE OF MISSING PARTS

Box PCT
Assistant Commissioner for Patents
Washington, DC 20231

Attn: DO/EO/US

Sir:

Transmitted herewith for filing in the above-identified patent application is:

- ☐ An Amendment Responsive to the Office Action Dated _____.
- ☒ An Amendment Supplemental to the Preliminary Amendment filed January 7, 2002.
- ☐ Other: _____.
- ☐ _____ Sheet of Proposed Corrected Informal Drawings are enclosed.
- ☐ A Certified Copy of each of the following applications: _____
_____ is enclosed.

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Serial No.: 10/031,740

PATENT

☐ An Associate Power of Attorney is enclosed.

☐ Information Disclosure Statement.

☐ Attached Form 1449.

☐ A copy of each reference as listed on the attached Form PTO-1449 is enclosed herewith.

☐ Appended Material as follows: _____ .

☒ Other Material as follows: Copy of Notification of Missing Requirements under 35 U.S.C. 371 in the United States Designated/Elected Office (DO/EO/US).

☒ Executed Declaration (1 originals), (4 pgs. total) in response to Notice of Missing Parts.

☐ Petition is hereby made under 37 C.F.R. 1.136(a) to extend the time for responding to the Notification of Missing Requirements mailed _____ to and through _____, comprising an extension of the shortened statutory period of __ month(s).

☐ The Commissioner is hereby requested to grant an extension of time for the appropriate length of time, should one be necessary, in connection with this filing or any future filing submitted to the U.S. Patent and Trademark Office in the above-identified application during the pendency of this application. The Commissioner is further authorized to charge any fees related to any such extension of time to Deposit Account 01-1250, Order No. _____.

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PATENT

FEE CALCULATION

[] No Additional Fee is Due.

				SMALL ENTITY		NOT SMALL ENTITY	
	REMAINING AFTER AMENDMENT	HIGHEST PAID FOR	EXTRA	RATE	FEE	RATE	FEE
TOTAL CLAIMS	20	20 (20 MINIMUM)	0	\$9 EACH	\$	\$18 EACH	\$
INDEP. CLAIMS	2	2 (3 MINIMUM)	0	\$42 EACH	\$	\$84 EACH	\$
FIRST PRESENTATION OF MULTIPLE DEPENDENT				\$140	\$	\$280	\$
<input type="checkbox"/> ONE MONTH EXTENSION OF TIME				\$55	\$	\$110	\$
<input type="checkbox"/> TWO MONTH EXTENSION OF TIME				\$200	\$	\$400	\$
<input type="checkbox"/> THREE MONTH EXTENSION OF TIME				\$460	\$	\$920	\$
<input type="checkbox"/> FOUR MONTH EXTENSION OF TIME				\$720	\$	\$1440	\$
<input type="checkbox"/> FIVE MONTH EXTENSION OF TIME				\$980	\$	\$1960	\$
<input type="checkbox"/> LESS ANY EXTENSION FEE ALREADY PAID				minus	(\$)	minus	(\$)
<input type="checkbox"/> TERMINAL DISCLAIMER				\$55	\$	\$110	\$
<input checked="" type="checkbox"/> OTHER FEE OR SURCHARGE AS FOLLOWS: Missing Parts 37 CFR 1.492(e) <u>Deposit Account No. 01-1250 - Order No. 02-0250</u>							\$130
TOTAL FEE DUE							\$130

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01 FC:154 130.00 CH

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PATENT

- ☒ [X] The Commissioner is authorized to charge payment of the following fees and to refund any overpayment associated with this communication or during the pendency of this application to Deposit Account No. 01-1250, Order No. ____.
- ☒ [X] The Foregoing Amount Due for Filing this Paper.
- ☒ [X] Any additional filing fees required, including fees for the presentation of extra claims under 37 C.F.R. 1.16.
- ☒ [X] Any additional patent application processing fees under 37 C.F.R. 1.17.

SHOULD ANY DEFICIENCIES APPEAR with respect to this application, including deficiencies in payment of fees, missing parts of the application or otherwise, the United States Patent and Trademark Office is respectfully requested to notify the undersigned.

Respectfully submitted,

Kimberly R. Hild
Kimberly R. Hild
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Attorney for Applicant
(610) 278-4964

Date June 13, 2002
Henkel Corporation Patent Law Dept.
2500 Renaissance Boulevard, Suite 200
Gulph Mills, PA 19406.

KRI-H-4



UNITED STATES PATENT AND TRADEMARK OFFICE

Dec Sur Notice - 6/24/02

 Commissioner for Patents, Box PCT
 United States Patent and Trademark Office
 Washington, D.C. 20231
 www.uspto.gov

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/031,740	Detlef Hollenberg	H 3170 PCT/US

INTERNATIONAL APPLICATION NO.

PCT/EP00/06159

I.A. FILING DATE	PRIORITY DATE
07/01/2000	07/06/1999

 Kimberly R Hild
 Henkel Corporation Law Dept
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RECEIVED

APR 29 2002

HENKEL LAW DEPT.

CONFIRMATION NO. 8626

371 FORMALITIES LETTER



OC000000007925442

Date Mailed: 04/24/2002

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as an Elected Office (37 CFR 1.495):

- U.S. Basic National Fees
- Priority Document
- Copy of IPE Report
- Copy of references cited in ISR
- Copy of the International Application
- Copy of the International Search Report
- English Translation of the IA
- Information Disclosure Statements
- Oath or Declaration
- Preliminary Amendments

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date. The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) in that it:
 - is not executed in accordance with either 37 CFR 1.66 or 37 CFR 1.68.
- \$130 Surcharge for providing the oath or declaration later than the appropriate 30 months months from the priority date (37 CFR 1.492(e)) is required.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTH FROM THE DATE OF THIS NOTICE OR BY 22 or 32 MONTHS (where 37 CFR 1.495 applies) FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

SUMMARY OF FEES DUE:

Total additional fees required for this application is **\$130** for a Large Entity:

- **\$130** Late oath or declaration Surcharge.

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

JOHN L ANDERSON

Telephone: (703) 308-9116

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/031,740	PCT/EP00/06159	H 3170 PCT/US